EQUITON® | Onboarding Process: Accredited Investors

Equiton makes it quick and easy for Advisors to qualify their clients in our Funds. It takes about 5 minutes to fill out the requisite paperwork. Enter your trade into your system and follow the instructions below to complete your trade.

Only Takes
5 mins
To Complete
All Paperwork

Subscription Agreement

For accredited investors, complete pages **2, 18-20 and 23-24** (standard government forms). Additional information below.

2 mins **Page 2** Complete all relevant fields.

Frequently asked questions about this page:

Full Legal Name	If the investment is joint, both full names are required here and all subsequent fields in this section should have the information for both individuals. You must also specify if the investment is **Joint with Right of Survivorship (JTWROS)** OR **Tenants in Common (TIC)**.
Date of Execution	Date the document is signed.
Is the Subscriber a registrant	**"Registrant"** = Financial Advisor or licensed individual making the investment.
Is the Subscriber an insider of the Issuer	**"Insider of the Issuer"** = works for Equiton.
If the Subscriber is signing as an agent of the principal	**"Agent of the principal"** = Power of Attorney, Portfolio Manager etc.
Distribution option	**"Option selection"** = needs to match the selection in your system.
Register the Trust Units/ Deliver the Trust Units	Trust information of where the funds are being held, if applicable.

30 sec **Page 18** Initial beside 3.A or 3.B then fill in relevant information, sign and date.

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30 sec **Page 19-20** Check the appropriate box then initial beside it.

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2 mins **Page 23-24** Complete and initial beside all relevant information.

Section 1: Check the box for the appropriate type of security.

Section 2: Risk acknowledgment – fill out required info and initial beside each box.

- Risk of loss – enter the purchase amount plus any fees.
- Fill out all required fields. For the Consideration line, "I am paying $" - total consideration = purchase amount plus any fees.
- For Class F, amount of fee or commission is generally '0'

Section 3: Initial the box beside the applicable statement(s) – must initial at least one.

Section 4: Enter the investor's name, then have the investor sign and date.

Section 5: Enter salesperson (advisor) name, phone number, email and firm name (if applicable).

Once all documents are complete, email them to Investor Services at agreements@equiton.com.
For assistance, please contact your Equiton advisor representative or Investor Services at investors@equiton.com.

Video Tutorial Available at: equiton.com/advisors/toolbox